



Dissecting the role of sortilin receptor signaling in neurodegeneration induced by NGF deprivation

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ABSTRACT

Sortilin is a member of the family of vacuolar protein sorting 10 protein domain receptors which has emerged as a co-receptor in cell death and neurodegeneration processes mediated by proneurotrophins.

Here we tested the possibility that sortilin deficiency interferes with behavioral and neuropathological endpoints in a chronic Nerve Growth factor (NGF)-deprivation model of Alzheimer's disease (AD), the AD10 anti-NGF mouse. AD10 mice show cholinergic deficit, increased APP processing and tau hyperphosphorylation, resulting in behavioral deficits in learning and memory paradigms assessed by novel object recognition and Morris water maze tests. *Sort1*^{−/−} mice were crossed with AD10 anti-NGF mice and the neurodegenerative phenotype was studied. We found that the loss of sortilin partially protected AD10 anti-NGF mice from neurodegeneration. A protective effect was observed on non-spatial memory as assessed by novel object recognition, and histopathologically at the level of A β and BFCNs, while the phosphotau increase was unaltered by knocking out sortilin. We suggest that sortilin might be involved in different aspects of neurodegeneration in a complex way, supporting the view that sortilin functions in the CNS are broader than being a co-receptor in proneurotrophin and neurotrophin signaling.

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1. Introduction

The neurotrophin NGF is synthesized from a precursor, proNGF, which displays biological activities distinct from those of mature NGF [1]. ProNGF induces neurodegeneration and cell death under acute and chronic situations of the nervous system upon binding to p75NTR and sortilin receptors [2].

We extensively characterized a mouse model [3,4] in which neurodegeneration is achieved by the expression of a recombinant antibody which selectively blocks the activity of mature NGF [5]. We hypothesized that an imbalance of the NGF to proNGF ratio would account for the neurodegeneration observed in the anti-NGF transgenic mice [6,7] and provided supporting evidence by crossing anti-NGF to p75NTR^{−/−} mice [6,7].

In this study, using a similar approach, we explored the role of the pro-neurotrophin co-receptor sortilin in the progression of neurodegeneration in AD10 anti-NGF mice, a line of transgenic mice in which anti-NGF antibodies are obligatorily expressed in lymphocytes and therefore are initially only found in serum and la-

ter, after disruption of the blood brain barrier, also in the brain [8]. AD10 mice develop a central neurodegeneration characterized by cholinergic deficit, tau hyperphosphorylation, amyloid β (A β) accumulation derived from the altered processing of endogenous mouse APP, and behavioral deficits [8]. AD10 anti-NGF mice were crossed with *Sort1*^{−/−} mice and the neurodegenerative phenotype was studied. We found that visual working memory and cholinergic deficit in the medial septum are fully rescued in aged mice, while β amyloid and hyperphosphorylated tau are mildly, or not at all, affected by sortilin deficiency.

2. Materials and methods

2.1. Animals

Sortilin-deficient mice were engineered by targeted gene deletion of 126 bp from exon 14 and 303 bp of the subsequent intron of *sortilin* [9]. Homozygous *Sort1*^{−/−} mice were crossed with homozygous AD10 anti-NGF mice [8]. Heterozygous AD10 \times *Sort1*^{+/-} mice from the first crossing were interbred and sex and age matched wild type, AD10, *Sort1*^{−/−} and AD10 \times *Sort1*^{−/−} mice were used for analysis. Genotype was determined by standard PCR,

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using the oligonucleotides described in [Supplementary methods](#). In total, 117 mice were analyzed in this study across three different age groups: 3 months (WT = 9; AD10 n = 15; $Sort1^{-/-}$ n = 10; AD10 \times $Sort1^{-/-}$ n = 14), 6 months (WT = 6; AD10 n = 11; $Sort1^{-/-}$ n = 6; AD10 \times $Sort1^{-/-}$ n = 12) and 12 months (WT = 9; AD10 n = 8; $Sort1^{-/-}$ n = 6; AD10 \times $Sort1^{-/-}$ n = 11).

2.2. Behavioral tests

Object recognition test was performed over three consecutive days as described [10]. A detailed description of the Morris water maze test has been provided in [Supplementary methods](#).

2.3. Tissue collection and immunohistochemistry

After behavioral analysis, mice were anaesthetized with an excess of 2,2,2-tribromethanol (400 mg/kg) and intracardially perfused with a 4% solution of paraformaldehyde in PBS. Brains were processed for immunohistochemical analysis as described [3,11]. Primary antibodies concentration is provided in [Supplementary methods](#).

2.4. Stereology

Stereological analysis on ChAT-immunoreactive neurons, number of A β clusters of dystrophic neurites, AT8-immunoreactive neurons in the hippocampus and lateral entorhinal cortex was performed as described [8,12].

2.5. Statistical analysis

Statistical analysis was performed using the SigmaSTAT program version 3.5 (Systat Software Inc., San Jose, CA). The alpha was set at 0.05 and a normality and equal variance test were first performed. One-way ANOVA or Kruskal Wallis ANOVA was used for multiple comparisons, followed by Bonferroni or Holm-Sidak *post hoc* tests.

3. Results

To determine the contribution of sortilin on the progressive neurodegeneration induced by anti-NGF antibodies, homozygous $Sort1^{-/-}$ mice were bred to homozygous AD10 anti-NGF mice and newborns were intercrossed. Littermates with the following genotypes were analyzed: wild type (WT), homozygous sortilin deficient animals ($Sort1^{-/-}$), AD10 mice and AD10 mice homozygous for $Sort1$ null alleles (AD10 \times $Sort1^{-/-}$). Mice were examined at 3, 6, and 12 months of age, corresponding to incipient, intermediate and full-blown neurodegeneration in AD10 mice, respectively [8].

3.1. Sortilin loss protects AD10 mice from late non-spatial memory but not from spatial memory deficits

Non-spatial memory was analyzed by the object recognition test. Mice from all genotypes spent the same time in exploring the two identical objects to which they were exposed during the sample phase of the test at all ages examined ([Supplementary Fig. 1A–C](#), respectively at 3, 6 and 12 months of age). In the test phase, at 3 months of age, mice from all genotypes explored more the new object ([Supplementary Fig. 1D](#), $P < 0.05$). At 6 months of age, AD10 displayed the expected memory deficit [8], as they explored equally the new and the familiar object ([Fig. 1A](#)), while AD10 \times $Sort1^{-/-}$ mice, similarly to WT, and $Sort1^{-/-}$ mice, continued to explore more the new object ([Fig. 1A](#), $P < 0.05$). Surprisingly, at 12 months of age the non-spatial memory deficit appeared also

in $Sort1^{-/-}$ mice ([Fig. 1B](#)), while AD10 \times $Sort1^{-/-}$ mice continued to explore more the new object than the old one ([Fig. 1B](#), $P < 0.05$). Next, we explored the possibility that loss of sortilin may also affect spatial memory deficits in wild type and AD10 mice, using the Morris water maze test. Mice from the different genotypes swam with the same speed at all tested ages, with the exception of 6 month-old $Sort1^{-/-}$ mice which swam faster than the other groups of mice ([Supplementary Fig. 2A, C and E](#)). At 3 and 6 months of age, mice from all genotypes learned to recognize the location of the platform ([Supplementary Fig. 2B,D](#)), while at 12 months of age, AD10 mice showed, as previously documented [8], a deficit in learning where the platform was ([Fig. 1C](#), $P < 0.05$). This deficit was partially rescued in AD10 \times $Sort1^{-/-}$ mice ([Fig. 1C](#)). At all ages, AD10 mice showed a spatial memory deficit during the probe phase ([Fig. 1D–F](#)). $Sort1^{-/-}$ mice did not show spatial memory deficits at 3 months of age ([Fig. 1D](#)), while an impairment was observed in older mice ([Fig. 1E and F](#), respectively at 6 and 12 months of age). Sortilin deficiency was insufficient to protect AD10 mice from spatial memory deficit, since, at 3 and 12 months of age, AD10 \times $Sort1^{-/-}$ showed an impaired memory of platform location ([Fig. 1D and F](#)), while 6 months old AD10 \times $Sort1^{-/-}$ mice showed no spatial memory deficit ([Fig. 1E](#)). We conclude that sortilin loss, *per se*, determines memory deficits ([Supplementary Table 1](#)), while, in the context of NGF deprivation, it fully protects from non-spatial memory deficits and, in a more limited and time restricted way, from spatial memory deficits.

3.2. Genetic inactivation of Sortilin prevents the increase of A β immunoreactive dystrophic neurites in aged AD10 mice

To examine the influence of sortilin gene inactivation on the amyloid pathology in AD10 mice, we evaluated the number of clusters of dystrophic neurites immunoreactive for A β /APP, which appear in the 6 months-old AD10 hippocampus [8]. The number of A β /APP clusters was not significantly different in 3 months old AD10, $Sort1^{-/-}$ or AD10 \times $Sort1^{-/-}$ mice, with respect to WT ([Fig. 2A](#)). 6 months old AD10 mice showed the expected increase in the number of A β /APP clusters compared to WT ([Fig. 2A](#), $P < 0.05$ and [8]), $Sort1^{-/-}$ have the same number of A β /APP clusters as WT mice, while AD10 \times $Sort1^{-/-}$ mice showed an equivalent number of A β /APP clusters to AD10 mice ([Fig. 2A](#)). However, at 12 months of age, sortilin loss in AD10 mice resulted in a twofold decrease in the number of A β /APP clusters compared to AD10 mice ([Fig. 2A, B and D](#)). Surprisingly, at 12 months, $Sort1^{-/-}$ mice showed an equivalent number of A β /APP immunoreactive dystrophic neurites to age-matched AD10 mice ([Fig. 2A–C](#)). Thus, inactivation of sortilin in AD10 mice delays the amyloidogenic process, determining a marked protection at 12 months of age. However, at this age, sortilin loss *per se*, appears to be amyloidogenic.

3.3. Loss of sortilin does not prevent mislocalization and increase of phosphorylated tau

The effects of sortilin loss on phosphorylated tau was analyzed by immunohistochemistry with the phospho-tau specific antibody mAb AT8. In 3 months old AD10 mice, AT8 labels neurons in the lateral entorhinal cortex (LEC) ([Fig. 2H](#), $P < 0.05$ versus WT mice) and, to a lesser extent, in the hippocampus ([Supplementary Fig. 3](#)), with a prominent somatodendritic localization (not shown). At the same age, $Sort1^{-/-}$ and AD10 \times $Sort1^{-/-}$ mice showed an equivalent number of AT8-immunoreactive neurons in LEC ([Fig. 2H](#)), while, in the hippocampus, sortilin deficiency in AD10 mice determined a threefold increase in the number of AT8-immunoreactive neurons ([Supplementary Fig. 3](#)). With age, the number of AT8-immunoreactive hippocampal neurons increases in AD10 and, with a delayed time-course, in $Sort1^{-/-}$ mice ([Fig. 2E, F and](#)

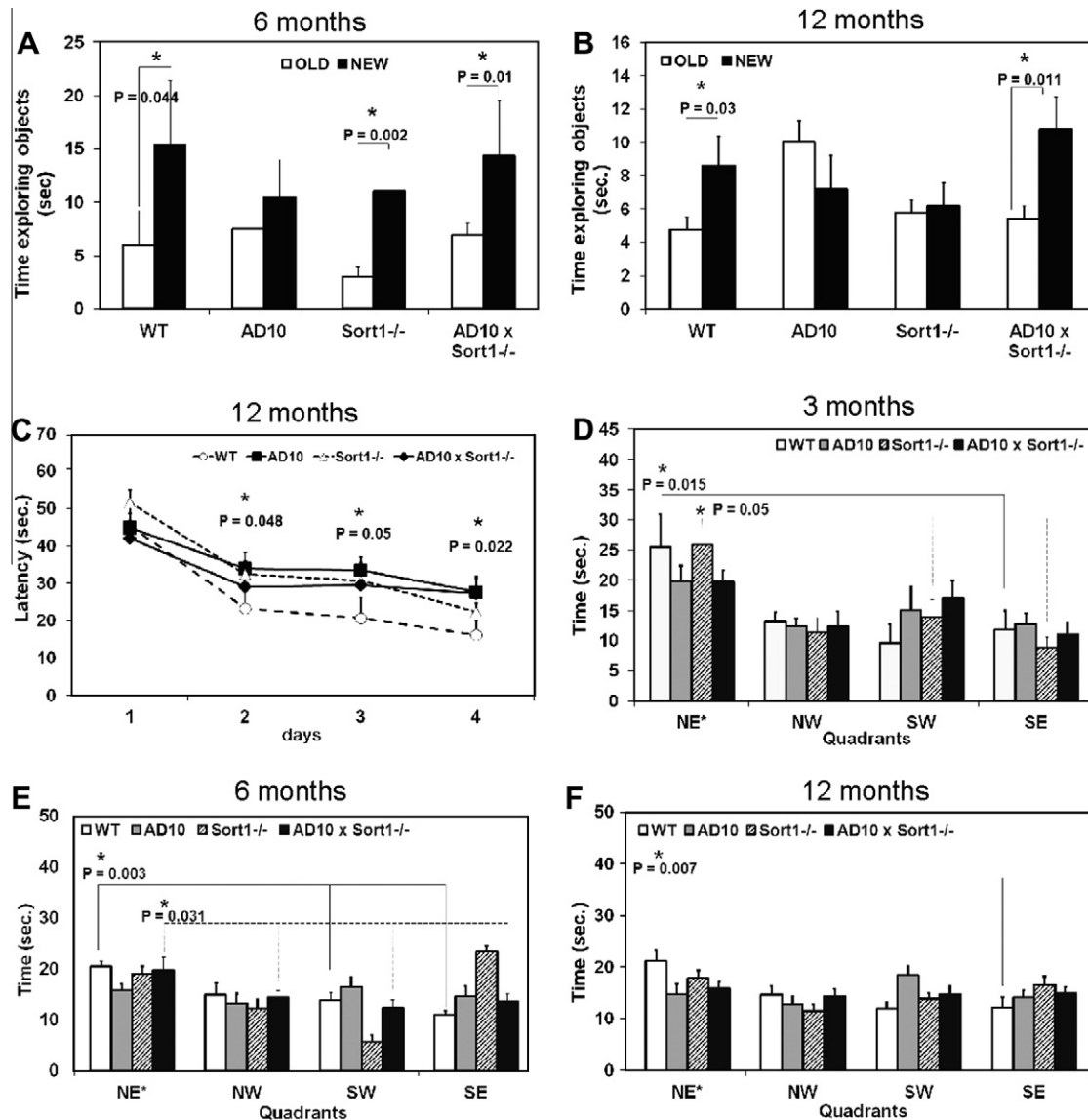


Fig. 1. Effects of sortilin deficiency on memory deficits. (A) At 6 months of age AD10 transgenic mice show a deficit in non-spatial working memory which is absent in *Sort1*^{-/-} and AD10×*Sort1*^{-/-} mice, (B) At 12 months of age both AD10 and *Sort1*^{-/-} mice showed a memory deficit which was prevented in AD10×*Sort1*^{-/-} mice, (C) During the acquisition phase of the Morris water maze test performed at 12 months of age, AD10 mice did not learn as well as WT mice or *Sort1*^{-/-} mice while AD10×*Sort1*^{-/-} showed an intermediate acquisition pattern and (D–F) During the probe phase, only WT mice were able to remember the target quadrant at all ages, while AD10 mice showed always a spatial memory impairment. The deficit appeared also in 6 (E) and 12 (F) month-old *Sort1*^{-/-} mice while the crossing of AD10 to *Sort1*^{-/-} mice only temporally protected from spatial memory deficit at 6 months of age (E). In A, B bars are representative of mean ± SEM. **P* < 0.05 new versus old object. In C–F Bars and points are representative of mean ± SEM. In C, **P* < 0.05 versus WT mice. In D–F, **P* < 0.05 time in target quadrant versus adjacent and opposite quadrants.

H, *P* < 0.05 versus WT mice; [Supplementary Fig. 3](#)). Crossing of AD10 to *Sort1*^{-/-} mice did not block the increase of AT8-immunoreactive neurons in LEC and hippocampus, at all ages ([Fig. 2A, G and H](#), *P* < 0.05; [Supplementary Fig. 3](#)). Thus, *Sort1*^{-/-} mice show an increased expression of phospho-tau, and loss of sortilin in the AD10 background does not prevent the increase in somatodendritic phosphorylated tau in different brain regions of old mice.

3.4. Sortilin deficiency partially rescues cholinergic deficit in AD10 mice

The effects of sortilin deficiency on the expression of choline acetyltransferase (ChAT), in neurons of the nucleus basalis of Meynert (NBM) and of the medial septum/diagonal band of Broca (MS/DBH), were studied by ChAT immunohistochemistry. In the NBM

of AD10, *Sort1*^{-/-} and AD10×*Sort1*^{-/-} mice, a significant decrease in the number of ChAT-immunoreactive neurons was first observed at 6 months of age ([Fig. 3A and C](#); *P* < 0.05 versus WT mice). This decrease persisted in 12-month old AD10 mice ([Fig. 3A and C](#), *P* < 0.05 versus WT mice) but was absent in age-matched *Sort1*^{-/-} mice ([Fig. 3A and C](#)) and partially rescued in AD10×*Sort1*^{-/-} mice ([Fig. 3A and C](#)). In the MS/DBH of AD10, as well as of *Sort1*^{-/-} and AD10×*Sort1*^{-/-} mice, cholinergic deficits appear earlier than in NBM, already at 3 months ([Fig. 3B](#), *P* < 0.05 versus WT mice). 6 months old AD10 and AD10×*Sort1*^{-/-} mice showed a further decrease in the number of MS/DBH cholinergic neurons ([Fig. 3B](#), *P* < 0.05 versus WT mice), while *Sort1*^{-/-} mice show normal levels ([Fig. 3B](#)). Twelve months old AD10 mice still showed a decreased number of ChAT-immunoreactive neurons ([Fig. 3B and D](#), *P* < 0.05 versus WT mice), that was partially rescued in AD10×*Sort1*^{-/-} mice

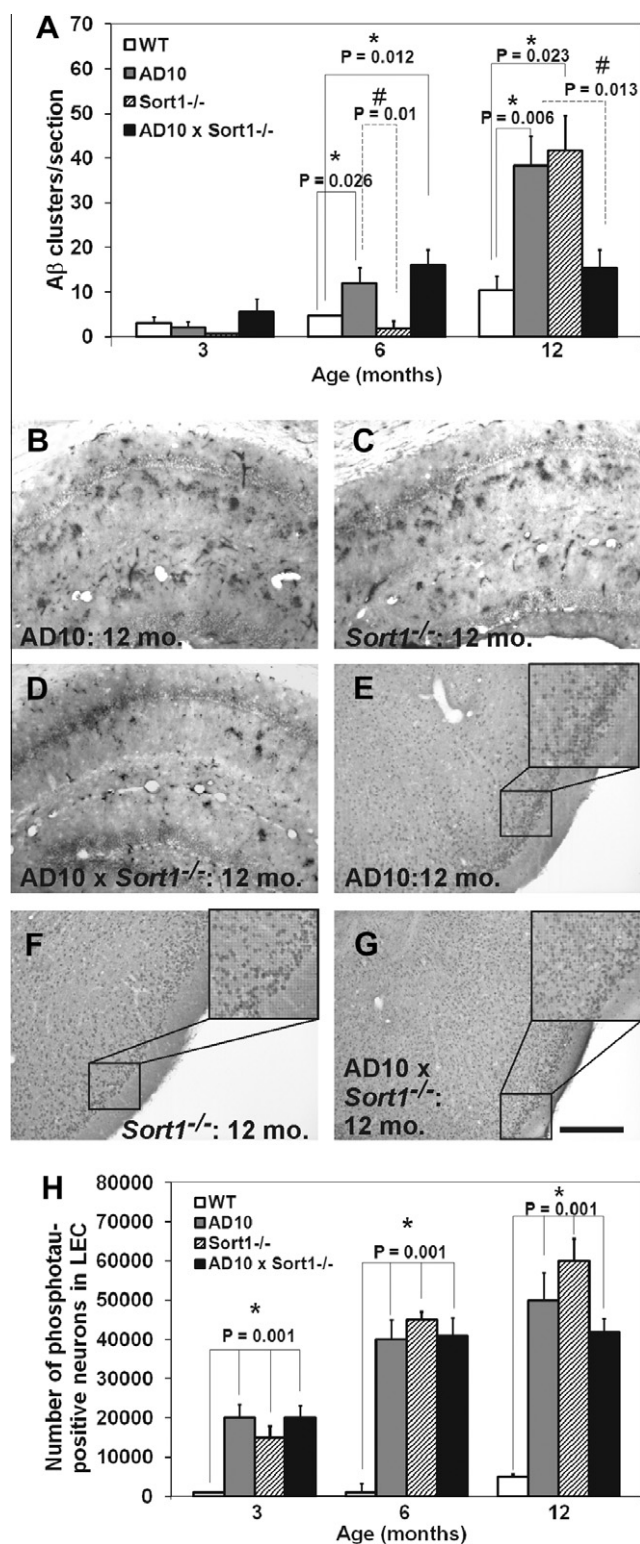


Fig. 2. Measurements of APP/A β clusters of dystrophic neurites in the hippocampus and of phosphorylated tau in lateral entorhinal cortex. (A) Quantification of the number of clusters at 3, 6 and 12 months of age. (B–D) Qualitative images of APP/A β amyloid clusters in (B) AD10, (C) Sort1^{-/-} and (D) AD10 \times Sort1^{-/-} hippocampus at 12 months of age. (E–G) Qualitative images of phosphotau-immunoreactive neurons in the lateral entorhinal cortex from (E) AD10 (E) Sort1^{-/-} and (G) AD10 \times Sort1^{-/-} mice at 12 months of age. (H) Quantification of the number of phospho-immunoreactive neurons at 3, 6 and 12 months of age in the LEC. All transgenic mice show an increase of phospho-tau immunoreactive neurons from 3 months of age with respect to WT mice. Bars are representative of mean \pm SEM. * P < 0.05 versus WT mice. # P < 0.05 versus AD10 and Sort1^{-/-} mice. Scale bar = 250 μ m.

and normal absent in Sort1^{-/-} (Fig. 3B and D). We concluded that sortilin loss partially rescues AD10 mice from cholinergic deficit in the BF nucleus at late stages of neurodegeneration.

3.5. Sortilin deficiency restores TrkA expression in the MS/DBH of AD10 mice

The expression of the NGF receptor TrkA is essential for neurotrophic actions of NGF on cholinergic neurons [13]. TrkA immunohistochemistry showed that the number of TrkA-immunoreactive neurons was strongly reduced in AD10 mice with respect to WT mice (Fig. 3E and F; P < 0.05), whereas this number was reverted in AD10 \times Sort1^{-/-} mice (Fig. 3E and F; P < 0.05). Thus, we concluded that sortilin might influence the expression of TrkA on cholinergic neurons, and, in turn, it might restore the correct neurotrophic activity of NGF.

4. Discussion

Sortilin is an important regulator of neuronal survival and function [14], controlling the release of proneurotrophic factors and acting as a co-receptor for them, together with p75NTR, [15–17]. A role for proNGF as an inducer of neurodegeneration was proposed [6] on the basis of the neurodegeneration observed in a line of anti-NGF mice [4,17], in which the selective neutralization of mature NGF with respect to proNGF leads to an NGF/proNGF imbalance.

The characterization of sortilin deficient mice has increased the knowledge of its functions at peripheral and central level. Sortilin loss abolishes apoptosis in mouse retina during development [9], protects lesioned corticospinal neurons against cell death [9], aggravates Trk receptor phenotypes present in p75NTR deficient mice [18], and increases embryonic lethality and sympathetic neuropathy in TrkA^{-/-} heterozygous mice [18]. Only few reports studied Sort1^{-/-} mice at the level of the Central Nervous System, with the exception of the effects of sortilin deficiency on corticospinal lesions [9]. We found that Sort1^{-/-} mice show an early decrease of the number of ChAT-immunoreactive neurons in the BF and an increased expression of phosphorylated tau and A β in cortical regions and in the hippocampus (Supplementary Table 1). These findings match with the overall observed decrease in memory functions, such as non-spatial and spatial memory in Sort1^{-/-} mice (Supplementary Table 1). Thus, our first conclusion is that sortilin might play a neuroprotective role in the BF, hippocampus and cortical regions. Consistently, sortilin mRNA is decreased in AD11 anti-NGF mice [19]. The increase of A β in aged Sort1^{-/-} mice could be explained by the broad actions of sortilin, acting not only as a receptor for proNGF but also for neurotensin [20] and progranulin [21], both of which are suggested to play a neuroprotective role [22,23]. Neurotensin has been found to be decreased in several brain areas of human AD brains [24–26], and neurotensin analogues rescue memory deficits [27]. Both null and missense progranulin mutations have been observed in AD patients [28,29]. Thus, loss of sortilin would mimic a decreased signaling of neurotensin and/or progranulin, explaining the observed neurodegenerative processes in Sort1^{-/-} mice.

The second conclusion is that loss of sortilin does not completely protect AD10 anti-NGF mice from neurodegeneration. A mild protective effect can be observed at the level of A β and BFCNs, in the latter case probably linked to the re-expression of TrkA on these cells, while the increase of phosphotau in AD10 mice is not affected at all by knocking out sortilin. The partial rescue of A β in AD10 \times Sort1^{-/-} mice might appear in contradiction with the increase found in Sort1^{-/-} mice. We interpret this protective role

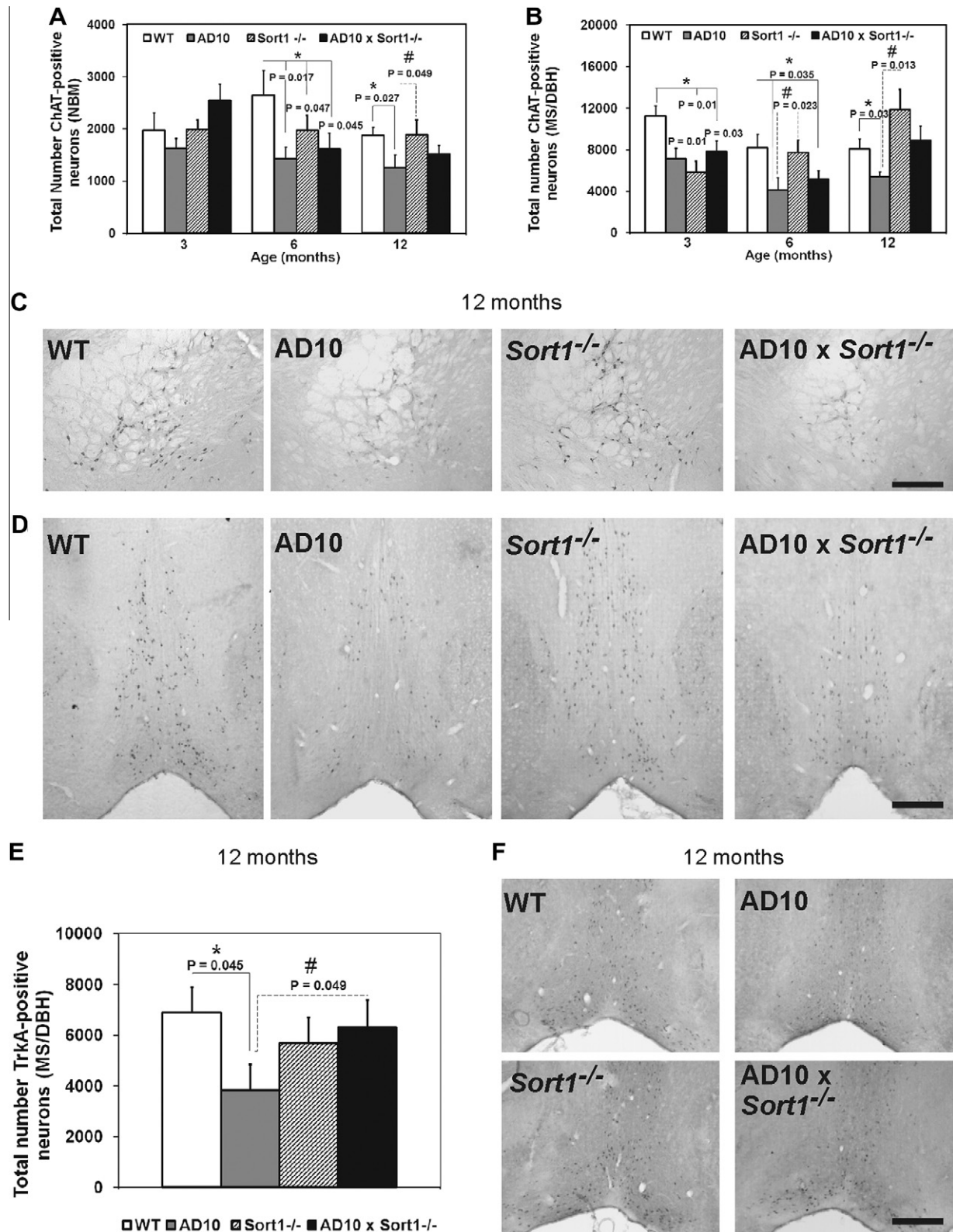


Fig. 3. Measurements of the number of cholinergic neurons in the NBM and MS/DBH. (A, B) Quantification of the number of ChAT-immunoreactive neurons at 3, 6 and 12 months of age in (A) NBM and (B) MS/DBH, (C) qualitative images of ChAT-immunoreactive neurons in NBM from WT, AD10, Sort1^{-/-} and AD10×Sort1^{-/-} mice at 12 months of age, (D) qualitative images of ChAT-immunoreactive neurons in MS/DBH from WT, AD10, Sort1^{-/-} and AD10×Sort1^{-/-} mice at 12 months of age, (E) quantification of the number of TrkA-immunoreactive neurons at 12 months of age in MS/DBH and (F) Qualitative images of TrkA-immunoreactive neurons in MS/DBH from WT, AD10, Sort1^{-/-} and AD10×Sort1^{-/-} mice at 12 months of age. Bars are representative of mean ± SEM. **P* < 0.05 versus WT mice. #*P* < 0.05 versus AD10 mice. Scale bar in B = 250 μm. Scale bars in C, F = 250 μm.

as evidence for the prevalence of proNGF signaling in the Aβ formation in AD10 mice, in line with what we observed crossing AD10 to

p75NTR^{-/-} [7]. This reinforces the view that proNGF signaling through p75NTR and sortilin, in a context of neurotrophic

imbalance or deficit as in AD10 mice, is pro-amyloidogenic. The lack of effects on phosphorylated tau, in presence of a rescue of A β might appear in contradiction with the serial “amyloid hypothesis”, whereby elevation of A β precedes and drives other AD features, including hyperphosphorylation of tau [30] and favour a dual pathway model, whereby A β and tau would be downstream to a common upstream driver for neurodegeneration [31]. Our results suggest that sortilin may be part of the recently postulated upstream driver, represented by NGF/proNGF signaling [32].

More generally, we conclude that in AD10 mice, the role of sortilin as a mediator of proNGF actions in different brain areas is not as essential as that of p75NTR [7], possibly due to the pleiotropic actions of sortilin in the CNS or to the fact that sortilin activity might be substituted, in a subset of cell populations, by other members of the VPS10P receptor family [14,33]. Indeed, we must also keep into account our unexpected finding on the *Sort1*^{-/-} phenotype, showing that, in the CNS, sortilin can be neuroprotective. This conclusion should be put in the context of studies showing that sortilin, besides being a partaker to cell death (including BFCNs) induced by all the different proneurotrophins, is required for the cleavage of APP to A β by BACE1 and for the toxic actions of A β oligomers in cortical neurons [34]. On the other hand, it has been recently reported that in neurons lacking sortilin the production of sAPP α [35] and the catabolism of the amyloid peptide by APOE are decreased [36]. However, most of these experiments were performed in cell cultures, thus extrapolating sortilin from the complexity of its role in the brain. Thus, sortilin has been recently found to be required for the axonal transport of Trk neurotrophin receptors, leading to an enhancement of neurotrophic signaling [18,34]. Thus, the expectation of selectively knocking-out proNGF signaling by removing sortilin might be too simplistic, given the complexities of sortilin functions *in vivo*, which are more multifaceted than expected. Further studies in different transgenic models of AD are required to dissect further the relationship and significance of the different pathways in which this important receptor is involved.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.bbrc.2013.01.007>.

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